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Express Mail Label No. EL046033190US

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
BU9-99-157

Total Pages in this Submission

3

jcs00 U.S. PTO
09/527761

03/17/00

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

WAFER IDENTIFICATION MARK

and invented by:

- 1) Brian C. Barker, 2) Raymond J. Bunkofske, 3) John C. Colt, 4) Perry G. Hartswick, 5) John W. Lewis, and
6) Nancy T. Pascoe

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 62 pages and including the following:
 - x a. Descriptive Title of the Invention
 - b. Cross References to Related Applications (if applicable)
 - c. Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. Reference to Microfiche Appendix (if applicable)
 - x e. Background of the Invention
 - x f. Brief Summary of the Invention
 - x g. Brief Description of the Drawings (if applicable)
 - x h. Detailed Description
 - x i. Claim(s) as Classified Below
 - x j. Abstract of the Disclosure

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(Large Entity)

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets
- b. ☐ Informal Number of Sheets
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is
supplied under Box 4b, is considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying identical Paper and computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet and document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
12. ☐ Preliminary Amendment
13. ☒ Acknowledgement postcard
14. Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL046033190US

09/527761

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|---|--|--------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity) <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | | Docket No. BU9-99-157 |
| | | Total Pages in this Submission |

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Additional Enclosures (please identify below):

Information Disclosure Statement, Form PTO-1449 & 14 references

Fee Calculation and Transmittal

| CLAIMS AS FILED | | | | | |
|--|--------|----------|--------|-----------|-----------|
| For | #Filed | #Allowed | #Extra | Rate | Fee |
| Total Claims | 54 | - 20 = | 34 | x \$18.00 | \$612.00 |
| Indep Claims | 3 | - 3 = | 0 | x \$78.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| BASIC FEE | | | | | \$690.00 |
| OTHER FEE (specify purpose) | | | | | \$0.00 |
| TOTAL FILING FEE | | | | | \$1302.00 |

☐ A check in the amount of _____ to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and credit IBM Corporation Deposit Account No. 09-0456 as described below. A duplicate copy of this sheet is enclosed.

☒ Charge the amount of \$1302.00 filing fee.
☒ Credit any overpayment.
☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Date: 3/17/00

 James M. Leas, Reg. No. 34,372 (Signature)

1. This form is to be filled out by the applicant or the applicant's attorney. It is not to be filled out by the examiner or the clerk.